U.S. PATENT APPLICATION NO.09/430,078
LAW OFFICES DOCKET NO.: T8320
INTELLECTUAL PROPERTY LAW

# THORPE, NORTH & WESTERN

A LIMITED LIABILITY PARTNERSHIP

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TELLECTUAL PROPERTY LAW PATENTS, TRADEMARKS, COPYRIGHTS, UNFAIR COMPETITION AND RELATED MATTERS

State Bar Admissions Include: Utah, California, Illinois

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# TRANSMITTAL FOR INFORMATION DISCLOSURE STATEMENT: BEFORE PAYMENT OF ISSUE FEE

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

<u>X</u>

Transmitted herewith for filing and pursuant to 37 C.F.R. § 1.97 is an Information Disclosure Statement.

Enclosed also are the following designated documents, as required under 37 C.F.R. §§ 1.97 and 1.98:

Form PTO-1449 list of 5 references submitted for consideration.

X Legible copies of the listed references or their relevant portions.
 All English translations of each non-English reference, if any, within the possession, custody, control or availability of anyone designated in 37 C.F.R. §

The following are included within the Information Disclosure Statement if applicable and as required under 37 C.F.R. § 1.98:

 Concise explanation of relevance of each reference not in English and
unaccompanied by an English translation.

1.56(c) (see 37 C.F.R. § 1.98(c)).

Page 2	
_	Statement that certain listed references not enclosed are substantially cumulative of an enclosed reference.
_	Statement that certain listed references not enclosed were previously cited by or submitted to the Office in the identified prior application which is relied upon for an earlier filing date under 35 U.S.C. § 120.
	der to secure consideration of the items designated above, one or more of the required, is also enclosed:
	Promptness Certification.
	Check No in the amount of \$ (amount in § 1.17(p)) constituting the submission fee set forth in 37 C.F.R. § 1.17(p).
	Petition for Consideration and Check No in the amount of \$(amount in § 1.17(i)(1)) constituting the petition fee set forth in 37 C.F.R. § 1.17(i)(1).
In the	e event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the

In the event that 37 C.F.R. § 1.97(c) applies and the Examiner is not satisfied that the Promptness Certification meets the requirements of 37 C.F.R. § 1.97(e), or in any other event remediable by a fee, please credit any over payment or charge any additional fees to Deposit Account No. 20-0100 of the undersigned.

Dated this 20 day of Dec, 1999.

Respectfully submitted,

Vaughn W. North Attorney for Applicant Registration No. 27,930

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Bank

SERIAL NO.:

09/430,078

FILED:

10/29/99

FOR:

SIGNAL DERIVED BIAS

SUPPLY FOR

ELECTROSTATIC LOUDSPEAKERS

ART UNIT:

2743

**EXAMINER:** 

DOCKET NO.:

T8320

CERTIFICATE OF DEPOSIT UNDER 37 C.F.R. 1.8

DATE OF DEPOSIT: 20 1 1979

I hereby certify that this paper or fee is being deposited with the United States Postal Service under 37 C.F.R. § 1.8 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington, DC 20231.

Vaughn W. North

# INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97

Assistant Commissioner of Patents Box DD (Disclosure Document) Washington, D.C. 20231

Sir:

Please find, pursuant to 37 C.F.R. § 1.98(a)(1), the enclosed Form PTO-1449 which contains a list of all patents, publications, or other items that have come to the attention of one or more of the individuals designated in 37 C.F.R. § 1.56(c). Applicant respectfully submits that this Information Disclosure Statement is filed pursuant to: 37 C.F.R. § 1.97 (b)(1), within three months of the filing date of the application, or before a first office action, whichever occurs last.

While no representation is made that any of these references may be "prior art" within the meaning of that term under 35 U.S.C. §§ 102 or 103, the enclosed list of references is disclosed

Assistant Commissioner of Patents

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so as to fully comply with the duty of disclosure set forth in 37 C.F.R. § 1.56.

Moreover, while no representation is made that a specific search of office files or patent office records has been conducted or that no better art exists, the undersigned attorney of record believes that the references listed, together with any other references which may have been previously submitted or listed, are the closest to the claimed invention (taken in its entirety) of which the undersigned is presently aware, and no art which is closer to the claimed invention (taken in its entirety) has been knowingly withheld.

In accordance with 37 C.F.R. §§ 1.97 and 1.98, a copy of each listed reference (or relevant portion thereof) which was not previously submitted to, or cited by, the Patent Office is also enclosed.

For all listed references that are not either in the English language, or accompanied by a translation into English, a concise explanation of relevance as required under 37 C.F.R. § 1.98(a)(3) is enclosed attached to each.

Please charge any additional fees or credit any overpayment to Deposit Account No. 20-0100.

DATED this 20 day of 1999.

Respectfully submitted,

Vaughn W. North

Attorney for Applicant

Registration No. 27,930

THORPE, NORTH & WESTERN, L.L.P.

P.O. Box 1219

Sandy, Utah 84091-1219

			DEPARTMENT OF COMMERCE ENT AND TRADEMARK OFFICE				SERIAL NO. 09/430,078	SERIAL NO. 09/430,078	
LIST OF PRIOR ART CITED BY APPLICANT					APPLICANT Bank				
					FILING DATE GROUP 2743				
			U.S	S. PATE	NT DOCUMENTS				
EXAMINER INITIALS		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	2.896.025	Jul. 21, 1959	Janszen					
	AB	3,895,193	Jul. 15, 1975	Bobb					
	AC	3,992,585	Nov. 16, 1976	Turner e	t al.				
	AĐ	4,160,882	Jul. 10, 1979	Driver	•				
	AE	5,392,358	Feb. 21, 1995	Driver					
	AF								
	AG								
	AH								
	ΑI								
	AJ								
	AK								
			FORE	IGN PA	TENT DOCUMENTS				
		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO	
	AL								
	АМ								
	AN								
	AO								
	AP								
	OTHER PRIOR ART (Including Author, Title, Pertinent Pages, Etc.								
	AR				***************************************				
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EXAMINER DATE CONSIDERED									
*EXAMINER:	Initial i	f reference considere	d, whether or not cit	ation is in	conformance with MPEP 609	); Draw line thr	ough citation if no	t in conformance and	
ייטי בטובועבובע.	HICIUUE	copy of any form w	ith next communication	on to applic	ant.				

# Notice of References Cited Application/Control No. 09/430,078 Examiner Brian T. Pendleton Applicant(s)/Patent Under Reexamination BANK ET AL Page 1 of 1

## **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-3,632,903	01-1972	Lange, Jr., Martin	381/309
*	В	US-3,895,193	07-1975	Bobb, Lloyd J.	381/116
	С	US-5,471,540	11-1995	Maeda, Keijiro	381/191
	D	US-			
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	F	US-			
	G	US-			
	Н	US-			
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	J	US-			
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	L	US-			
	М	US-			-

## FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
	N					
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#### **NON-PATENT DOCUMENTS**

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-	Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.